SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

| OMB Number: | 3235-0287 | | | | | |
|--------------------------|-----------|--|--|--|--|--|
| Estimated average burden | | | | | | |
| hours per response: | 0.5 | | | | | |

| Filed pursuant to | Section 16(a) | of the Securitie | s Exchange | Act of 19 |
|-------------------|---------------|------------------|------------|-----------|

34 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person* | | | 2. Issuer Name and Ticker or Trading Symbol <u>Trident Acquisitions Corp.</u> [TDACU] | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | |
|--|-----------------------|----------|--|---|--|-----------------------|--|--|
| <u>Butkevych Gennadii</u> | | | | X | Director | 10% Owner | | |
| (Last) 77 WATER STI | (First) REET, FL 8 | (Middle) | 3. Date of Earliest Transaction (Month/Day/Year) 06/01/2018 | | Officer (give title below) | Other (specify below) | | |
| | | | 4. If Amendment, Date of Original Filed (Month/Day/Year) | | 6. Individual or Joint/Group Filing (Check Applicable Line) | | | |
| (Street) NEW YORK NY | | 10005 | | X | Form filed by One Reporting Person | | | |
| | | | | | Form filed by More than One Reporting Person | | | |
| (City) | (State) | (Zip) | | | | | | |
| Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned | | | | | | | | |
| | | | | | | | | |

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | Transaction Code (Instr. | | 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5) | | 5. Amount of Securities Beneficially Owned Following Reported | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership | |
|---------------------------------|--|---|-----------------------------|---|---|---------------|---|---|---|--------------------------------|
| | | | Code | v | Amount | (A) or (D) | Price | Transaction(s) (Instr. 3 and 4) | | (Instr. 4) |
| Common Stock | 06/01/2018 | | Р | | 500,000 | A | \$10 | 1,000,000 | Ι | See Footnote ⁽¹⁾ |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 1. Title of Derivative 6. Date Exercisable and Expiration Date 7. Title and Amount of Securities 9. Number of derivative 11. Nature of Indirect 3. Transaction Date 3A. Deemed Execution Date, 5. Number of 8. Price of Derivative 10. Ownership Z. Conversion Transaction Derivative or Exercise Price of Derivative if any (Month/Day/Year) Security (Month/Dav/Year) Code (Instr. Securities (Month/Day/Year) Underlying Derivative Security Security (Instr. 5) Securities Form: Beneficial (Instr. 3) 8) Acquired (A) Beneficially Direct (D) Ownership or Disposed (Instr. 3 and 4) Owned or Indirect (Instr. 4) of (D) (Instr. 3, 4 and 5) Security Following (I) (Instr. 4) Reported Transaction(s) Amount (Instr. 4) Number Date Expiration Code v (A) (D) Exercisable Date Title of Shares See Commoi \$11.5 06/01/2018 P 500,000 (2) (3) 500,000 500,000 Warrants \$<mark>0</mark> I Footnote⁽⁴⁾ Stock

Explanation of Responses:

1. Represents 500,000 shares of common stock and 500,000 shares of common stock underlying private units held by BGV Group, of which Gennadii Butkevych has voting and dispositive power over.

2. The warrants become exercisable on the later of (i) 30 days from the completion of the registrant's initial business combination and (ii) 12 months from the closing of the registrant's initial public offering.

3. The warrants expire 5 years after the completion of the registrant's initial business combination or earlier upon redemption or liquidation, as described in the registrant's prospectus filed with the SEC.

4. Represents warrants included as part of the private units held by BGV Group, of which Gennadii Butkevych has voting and dispositive power over

/s/ Gennadii Butkevych ** Signature of Reporting Person

06/15/2018

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).