SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB	APPROVAL

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1. Name a	nd Address of	Reporting Person*			2. Iss	suer	r Nan	ne ar	nd Tick	er or Tr	ading	mpany Act of Symbol					o of Reportir	ng Pei	rson(s) to Is	suer
GLAZER CAPITAL, LLC			$\left \frac{\text{Trie}}{1}\right $	Trident Acquisitions Corp. [TDAC]							(Check all applicable) Director X 10% Owner									
(Last) (First) (Middle) 250 WEST 55TH STREET SUITE 30A			11/2	 Date of Earliest Transaction (Month/Day/Year) 11/27/2020 If Amendment, Date of Original Filed (Month/Day/Year) 									Officer (give title Other (specify below) below) 6. Individual or Joint/Group Filing (Check Applicable							
(Street) NEW YORK NY 10019										Line) Form filed by One Reporting Person X Form filed by More than One Reporting Person										
(City)	(St		Zip)																	
1. Title of	Security (Ins		I - No	2. Transac Date (Month/Da	tion	2/ E)	A. De xecut any	emec	ł	3. Transa Code (ction	4. Securities Disposed O 5)	s Acqu	ired (A) or	5. Amo Securi Benefi	ount of ties	Forn	wnership n: Direct or Indirect	7. Nature of Indirect Beneficial
						(N	Aonth	n/Day	/Year)	8) Code	v	Amount	(A) (D)	or P	rice	- Report Transa	Owned Following Reported Transaction(s) (Instr. 3 and 4)		nstr. 4)	Ownership (Instr. 4)
Commor share	n Stock, Par	Value \$0.001 pe	er	11/27/2	2020					S		125,855	I		\$11.48	1,5	1,597,920		Ι	See Footnote 1. ⁽¹⁾
Common Stock, Par Value \$0.001 per share		11/30/2020					S		228,510	Г		\$12.5	1,369,410			Ι	See Footnote 1. ⁽¹⁾			
Commor share	n Stock, Par	Value \$0.001 pe	er	12/01/2	2020					S		369,410	I		\$13.19	1,0	00,000		I	See Footnote 1. ⁽¹⁾
		Tal	ble II -	Derivati (e.g., ρι	ive Se uts, ca	ecu alls	uriti s, w	es A arra	Acqu ants,	ired, I optio	Disp ns, c	osed of, c convertibl	or Be le se	enefi curit	cially ies)	Owne	d			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	Execut if any	3A. Deemed 4 Execution Date, 1 if any 0		4. Transaction Code (Instr. 8)				6. Date Exercisable Expiration Date (Month/Day/Year)		ate	d 7. Title and Amount of Securities Underlying Derivative Security (Ir 3 and 4)		De Se (Ir	Price of arivative acurity Istr. 5)	9. Number derivative Securities Beneficially Owned Following Reported Transaction (Instr. 4)	y Direct (D) or Indirect (I) (Instr. 4		11. Nature of Indirect Beneficial Ownership (Instr. 4)
					Code	v		(A)	(D)	Date Exercis	able	Expiration Date	Title	Amo or Num of Shar	ber					
		Reporting Person [*]																		
(Last) 250 WE SUITE :	ST 55TH S	(First) TREET	(Mi	ddle)																
(Street) NEW Y	ORK	NY	10	019		_														
(City)		(State)	(Zip	o)																

1. Name and Address of Reporting Person^{*}

GLAZER PAUL J

(Last) (First) (Middle) 250 WEST 55TH ST

SUITE 30A

(Street)	
NEW	YORK

NY	10019

(City) (State) (Zip)

Explanation of Responses:

1. The securities reported herein are held by certain funds and accounts to which Glazer Capital, LLC, a Delaware limited liability company, serves as investment manager. Mr. Paul J. Glazer serves as the Managing Member of Glazer Capital, LLC. Each of Glazer Capital, LLC and Mr. Paul J. Glazer disclaims beneficial ownership of the securities reported herein except to the extent of such Reporting Person's pecuniary interest therein.

<u>Paul J. Glazer</u> <u>12/01/2020</u>

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.